

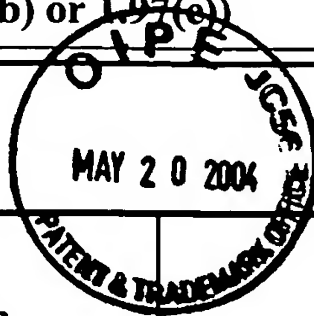
5-11

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14356Z	
In Re Application Of: Mikio ODA, et al.				
Serial No. 10/681,019	Filing Date October 8, 2003	Examiner Unassigned	Group Art Unit 2812	
Title: OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
14356Z

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Serial No.  
10/681,019

Filing Date  
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Unassigned

Group Art Unit  
2812

**OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013 SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: May 18, 2004

Paul J. Esatto, Jr., Reg. No. 30,749  
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PJE:ae

CC:

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14356Z
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Serial No. 10/681,019	Filing Date October 8, 2003	Examiner Unassigned	Group Art Unit 2812
Title: <b>OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY</b>			
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14356Z

In Re Application: Mikio ODA, et al.

MAY 20 2004

Serial No.

10/681,019

Filing Date

October 8, 2003

Examiner

Unassigned

Group Art Unit

2812

OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY

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Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

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Garden City, NY 11530  
(516) 742-4343

Dated: May 18, 2004

PJE:ae

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Mikio Oda, et al.

**Examiner:** Unassigned

**Serial No:** 10/681,019

**Art Unit:** 2812

**Filed:** October 8, 2003

**Docket:** 14356Z

**For:** OPTICAL CIRCUIT  
IN WHICH FABRICATION IS EASY

**Dated:** May 18, 2004

**Confirmation No.** 6178

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. Showa 64-017015 dated January 20, 1989.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 18, 2004.

Dated: May 18, 2004

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Paul J. Esatto, Jr.

The reference was cited in an Office Action dated April 8, 2004 received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited reference, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the reference is described in the Official Action.

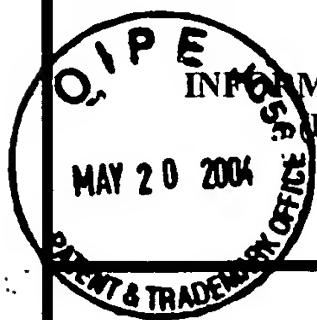
In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the references the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Paul J. Esatto, Jr.  
Registration No. 30,749

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PJE:ae



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)  
14356Z

Application Number  
10/681,019

Applicant(s)  
Mikio Oda, et al.

Filing Date  
October 8, 2003

Group Art Unit  
2812

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		64-017015	1/20/89	JAPAN				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.